

ICPSR 4006

ICPSR Annual Reports

*Inter-university Consortium for Political
and Social Research*

Annual Report for 2006-2007

Inter-university Consortium for
Political and Social Research
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ICPSR

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INTER-UNIVERSITY
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POLITICAL AND
SOCIAL RESEARCH

2006–2007 Annual Report



Our Diverse Community



Our Diverse Community

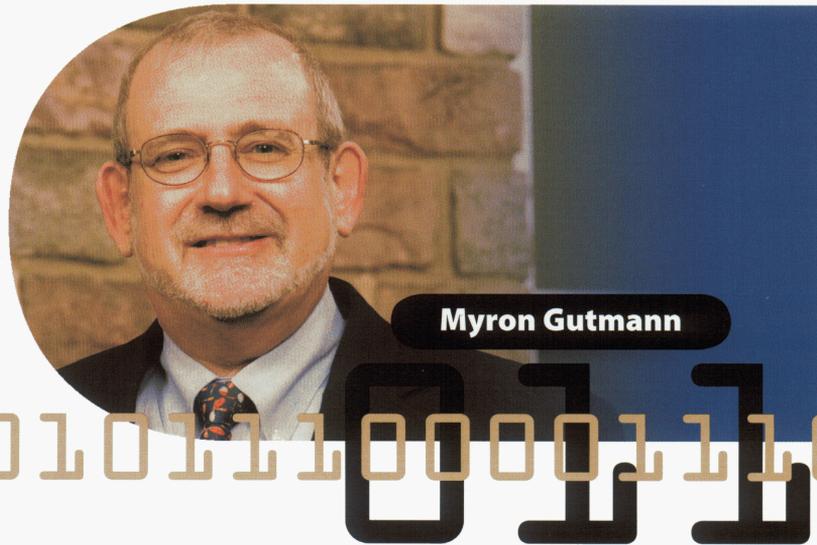
From the Director

ICPSR has had another successful year. We have made enormous strides in our commitment to serving the world's diverse communities, and we have continued our rapid transformation as an organization. These accomplishments and changes make it a year to be proud of, for me and for ICPSR as a whole.

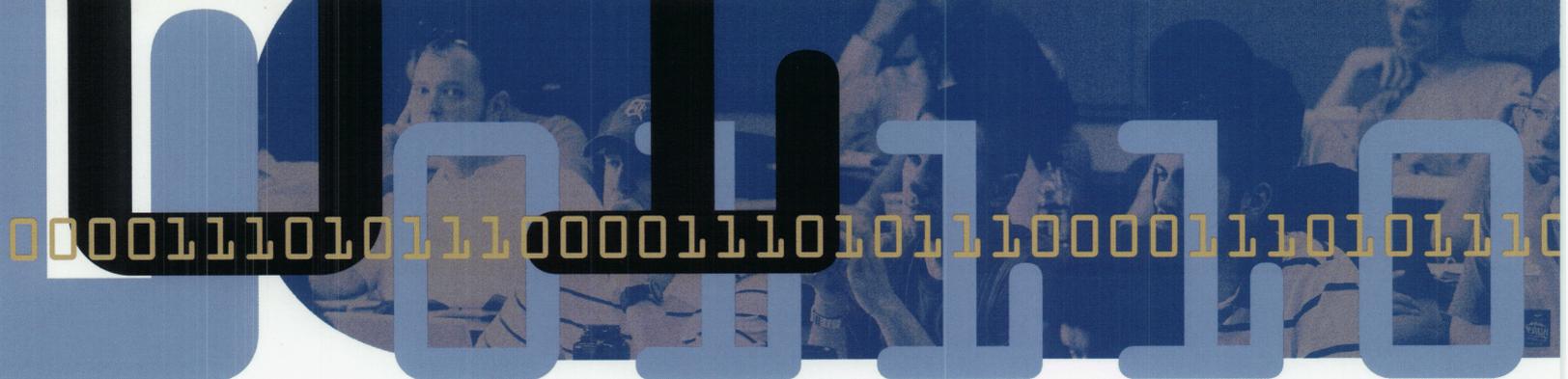
Two years ago the ICPSR Council asked staff to find ways to reach out to groups that are underrepresented in the social science research community and to increase access to data about minority populations in the United States. I am proud to report that we made important progress on those goals during the year. Since the summer of 2006, Professors Phillip Bowman (University of Michigan) and Tyrone Forman (University of Illinois at Chicago) have led a four-week course entitled "Methodological Issues in Quantitative Research on Race and Ethnicity," which has brought a diverse group of enthusiastic and highly qualified students to our program. We expect this course to be the first of several that will enrich our offerings and attract new students. We have made equally strong progress in expanding our ability to deliver data resources about minority populations. In the fall of 2005, we gave a small working group, led by Felicia

LeClere and David Thomas, the task of creating new ways to present and disseminate data about minority populations in the United States. In less than a year they came up with the Minority Data Resource Center, a unique online resource (shown on page 6) that identifies studies in the ICPSR collection that are useful for the study of minority populations, with specially devised tools that make it possible to determine quickly whether the study design and sampling strategy will enable research about particular subpopulations.

We have also been working hard at improving ICPSR's services for undergraduate students and the institutions where they are enrolled. Summer 2007 was the second year in which we welcomed a wonderful group of six students (pictured on page 9) to Ann Arbor for 10 weeks of study, work, and fun. These young people brought new energy to our offices and new ideas for the future. We look forward to another group next year. We also awarded our first set of prizes for undergraduate research papers based on ICPSR data. 2007's winner, Scott Noveck of Princeton University, showed just how much could be done with data from ICPSR's holdings on criminal justice, and just how much we can learn from our younger colleagues (see inset, page 9).



Myron Gutmann



ICPSR is at heart a membership organization, and this year we are pleased to welcome over 50 new members to the consortium. Not only did new membership enrollment set a record in 2007, but overall ICPSR membership also reached a new peak. Perhaps more importantly, ICPSR drew in a healthy variety of new institutions including Master's, Bachelor's, and community colleges, as well as international institutions.

The year just ended was again bittersweet for us, with the retirement of two of our longest-serving colleagues, along with the addition of three wonderful new colleagues to take on senior responsibilities at ICPSR. Over 40 years and many leadership changes, Erik Austin assiduously developed ICPSR's data collection, stopping from time to time to fill in as interim director and to contribute in every possible way to the organization. No one will fill his shoes (or, for those of us accustomed to seeing him every day, his hat). Hank Heitowit captained the ICPSR Summer Program for a quarter-century, steering it through a generation of change in statistical methods, as well as all of ICPSR's organizational changes. 2007 marked the last Summer Program of his tenure. We're going to have to work hard to do as well—and do it as consistently—as he did. There's more on Erik and Hank later in this report.

This year has brought three new leaders to ICPSR. All are longtime friends of ICPSR, whom we managed to recruit to play bigger roles in the organization. In September 2006, Nancy McGovern, a member of the ICPSR Council from Cornell University, joined ICPSR as digital preservation officer, a new title that reflects our commitment to rapidly changing technology and an all-digital world. In May 2007, Bill Jacoby of Michigan State University, who has taught in the Summer Program for more than 20 years, joined ICPSR full time in preparation for taking over as director of the Summer Program at the end of the 2007 Program. Then, on July 1, George Alter of Indiana University, a former Summer Program instructor, joined ICPSR as associate director and director of the Collection Development Unit. All three are already making significant contributions to ICPSR, and I look forward to reporting to you in the future about their accomplishments.

—Myron Gutmann





From the Council Chair

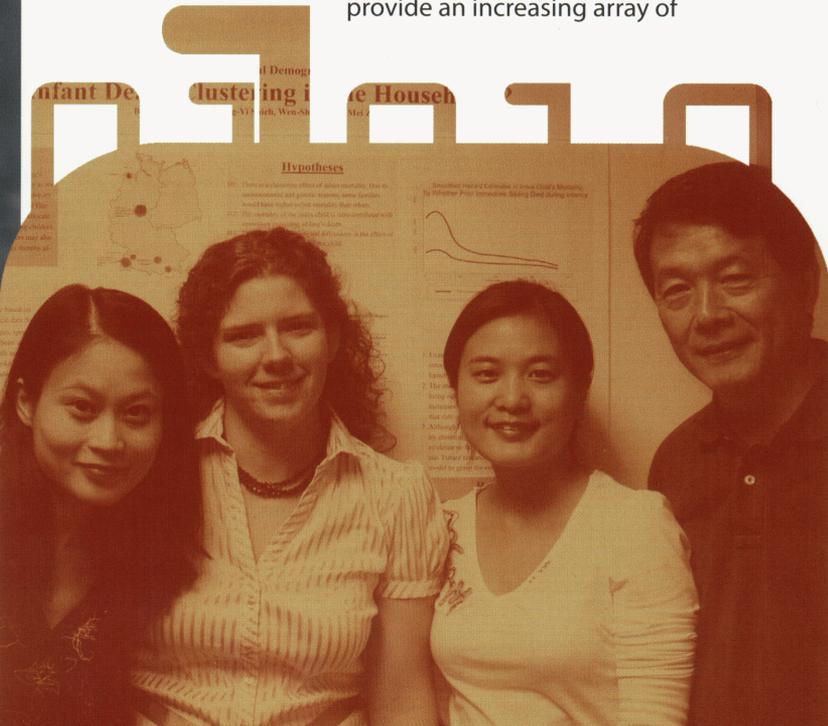
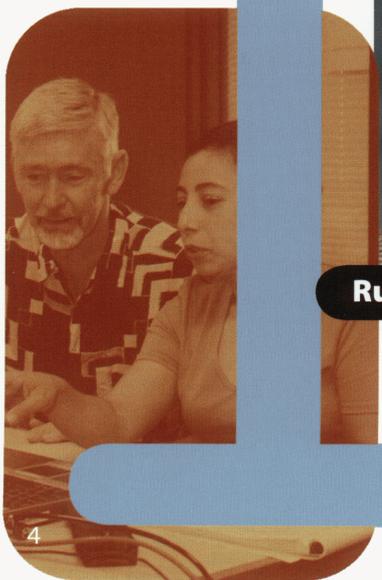
Congratulations to ICPSR for another stellar year!!! Continuing the theme introduced by the Director, on behalf of the ICPSR Council, I too want to draw your attention to the important outreach activities undertaken by the staff over the past year. Indeed, it is difficult to find appropriate words to express Council's regard for the activities and commitment of the staff to build diversity and inclusiveness in ICPSR's membership, data holdings, Summer Program participation (faculty and students), course offerings, and the like. One of the most outstanding accomplishments is the development of the Minority Data Resource Center (MDRC). Created under the auspices of Felicia LeClere, David Thomas, and a stellar staff, MDRC provides data resources and user-friendly tools to facilitate research (and teaching) that will advance our understanding of the roles of race, ethnicity, immigration, ancestry, and the like in contemporary society. Undoubtedly, these tools will help to

broaden both perspectives and participation in social science research as new types of users draw on MDRC to investigate problems for which data were heretofore hard to find, and in doing so, bring to the data new ways of thinking about the meaning of patterns and of interpreting findings. This resource should also be self-promoting as it provides an encouraging signal to individuals who have collected data relevant to underrepresented groups to deposit their own.

Yet, MDRC does not exist in a vacuum as ICPSR's contribution to building for diversity in the social sciences. We are now seeing the fruits of initiatives undertaken by Linda Detterman and the membership team that reach out to historically minority colleges and universities, international institutions, undergraduate colleges, and other underserved organizations. The increasing representation of these types of institutions among ICPSR's members is testament to the fact that the outreach activities of the staff include efforts both to identify and communicate with underserved institutions, and provide an increasing array of



Ruth Peterson



resources that speak to their interests and missions. Importantly, these activities are partly facilitated by participation in an increasingly diverse array of professional association meetings.

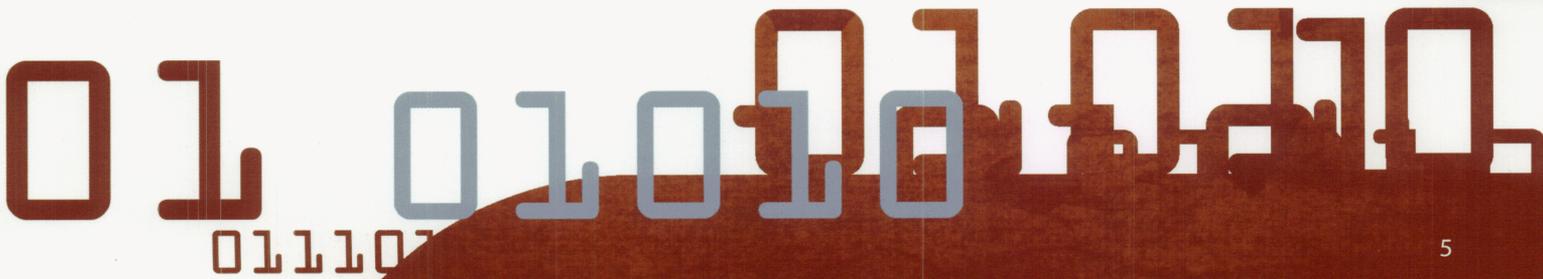
We also see ICPSR's commitment to inclusiveness in the selection of summer interns, and in Summer Program participants, instructional staff, and courses. It is very gratifying to see representatives from diverse ethnic groups, areas of the country, and types of schools gaining the advantage of developing their research skills through internships with ICPSR. Such activities bode well for the future diversity of faculty and other researchers. Equally forward-looking are Summer Program courses that draw attention to methodological concerns in the study of race, ethnicity, gender, and related topics, and facilitate the methodological expertise of individuals (often members of underrepresented groups) interested in such topics. Also, the Summer Program faculty is increasingly representative of the diversity of social science researchers, with an increasing share of courses being taught by women and faculty of color. These and other accomplishments ultimately build toward social science research that draws on the full array of talented researchers.

Council is extremely pleased that ICPSR staff have taken on such outreach activities and accomplished so much in the process. Yet, I would be remiss if I did not acknowledge a couple of other major accomplishments of the past year.

First, Council congratulates Director Gutmann and the staff for their success in recruiting strong new senior leaders (George Alter as associate director; William Jacoby as director of Educational Activities; and Diane Thompson as manager of the Summer Program) to help shepherd an evolving ICPSR. These appointments represent an amazing accomplishment as the Consortium was facing the potential of a major leadership vacuum in the wake of the retirements of Erik Austin (former assistant director) and Henry (Hank) Heitowit (former director of the Summer Program). Second, congratulations to the staff and the Official Representatives (ORs) on the success of the 2007 OR Meeting. The conference was wonderfully informative, and reminds us all of the important work that ORs do to further the scientific enterprise.

And now on a personal note. As outgoing Council Chair it has been a privilege to work with Myron Gutmann, ICPSR's able and dynamic leader, its creative and energetic staff, and the wise and thoughtful Council members who add their voices to the mix. Thank you all and congratulations to ICPSR for another fine year.

—Ruth Peterson



Minority Data Resource Center Provides Wider Access to Data

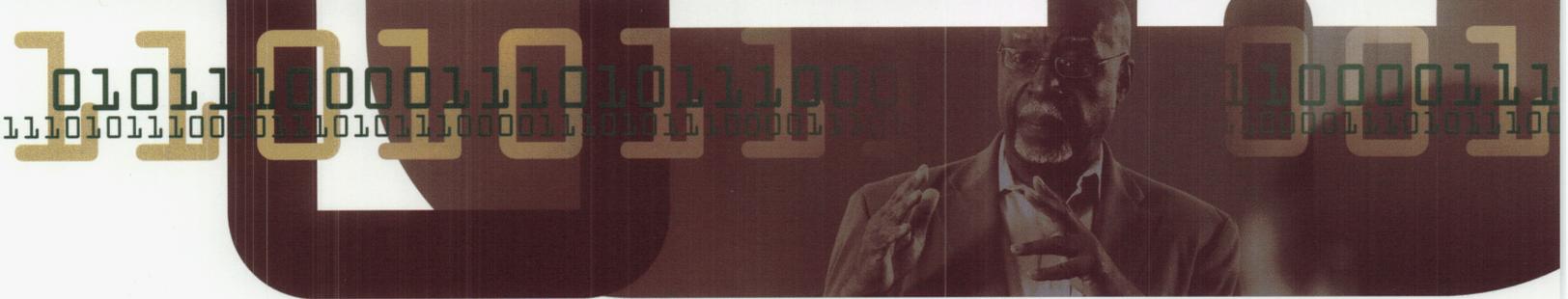
In the Spring of 2005, the ICPSR Council asked the staff and management to take a closer look at our commitment to diversity in staffing, the Summer Program, and the delivery of data to our users. Across the institution, we responded with vigor to this call. The Minority Data Resource Center (MDRC) is one product of this initiative. In 2006, Research Scientist Felicia LeClere worked with Shawn Pelak, Piper Simmons, David Thomas, and Robert Melendez to expand access to the parts of the collection that facilitate research and teaching about underrepresented populations in the United States and to develop new analytic tools and features to attract a more heterogeneous group of users. With the help of Computing and Network Services and the Web team, the MDRC became a reality in November 2006. The MDRC Web site offers new online tools including a sample characteristics view, an automated crosstabulation view, and a syntax tutorial. The original MDRC team was awarded honorable mention in the University of Michigan Human Resource Team Award competition in 2007.

In 2007, MDRC staff spent a great deal of time engaged in outreach to attract both users and new funding sources. The MDRC team now includes David Thomas, Abay Israel, and Daphne Lin. In the past year, the team traveled to six professional conferences and college campuses in Chicago, Montreal, New York City, Columbus, and Atlanta to promote use of the site and to find new data. David and Abay gave eight classroom presentations at Spelman College in September alone. The support of Ruth Peterson, ICPSR Council Chair, has been particularly important to our success at reaching many new audiences.

In the coming year, we hope to add new tools and features to MDRC, including online mapping. We are currently working with John Garcia (University of Arizona), a longtime friend of ICPSR, to bring the 2006 Latino National Survey to MDRC. This is a large study of the political attitudes and behavior of the heterogeneous Hispanic origin population of the United States. Also, in collaboration with the staff of ICPSR's Online Learning Center that will launch in 2008, we will be adding learning guides on social stratification and race to our site.

MDRC's accomplishments, along with the other efforts to diversify our archival and teaching staff, have demonstrated ICPSR's ongoing commitment to serving an increasingly diverse community of researchers, students, and members.





Collaborative Psychiatric Epidemiology Surveys Investigate Cultural Influences on Mental Health

This past summer, ICPSR and Survey Research Operations (SRO) of the Institute for Social Research (ISR), University of Michigan, released the Collaborative Psychiatric Epidemiology Surveys (CPES), which provide data on the distributions, correlates, and risk factors of mental disorders among the general population, with special emphasis on minority groups. Funded by the National Institute of Mental Health, this project joins together three nationally representative surveys: the National Comorbidity Survey Replication (NCS-R), the National Survey of American Life (NSAL), and the National Latino and Asian American Study (NLAAS). CPES permits the investigation of cultural and ethnic influences on mental health. The project was headed up by Beth-Ellen Pennell and Sue Ellen Hansen at SRO, and Peter Granda and Mary Vardigan at ICPSR.

ICPSR Staff Builds a Better Community

In 2007, ICPSR took the lead on behalf of ISR to plan activities in celebration of Martin Luther King Jr. Day. In keeping with the University of Michigan's 2007 MLK Day theme, "Building the Beloved Community," ICPSR organized a symposium on "Communities at Risk," featuring nationally-recognized experts speaking on issues facing at-risk groups. Ruth Peterson (sociology, Ohio State University) discussed the interrelationships among segregation, concentrated disadvantage, and urban crime. Alford Young Jr. (sociology and Center for Afroamerican and African Studies, University of Michigan) spoke about how urban-based, low-income African American men conceive of work opportunity and notions of ideal fatherhood. Sean Joe (social work and psychiatry, University of Michigan) discussed interventions for African American youth to prevent self-destructive behaviors. Phillip Bowman, Director of the National Center for Institutional Diversity at the University of Michigan, chaired the symposium.

As a follow-up activity and to keep the spirit of Dr. King alive, ICPSR staff and members of the ISR Director's Advisory Committee on Diversity organized a community outreach event that included a Children's Book Drive and Nonprofit Fair. Participants were asked to bring a children's book to the event for donation to a local organization that combats illiteracy. Representatives from a variety of nonprofits told how their work made a difference, and ISR staff were encouraged to take advantage of volunteer opportunities.



Educational Activities

Summer Program 2007: Diversity and Growth

ICPSR has offered the annual Summer Program in Quantitative Methods of Social Research since 1963. Over the last several years, enrollment in the Program has continued to reach record levels, with 719 participants in 2005, 775 participants in 2006, and 818 in 2007.

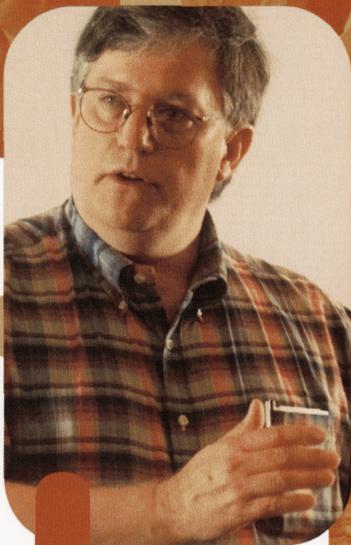
During 2007, participants represented 24 different departments or disciplines from 215 colleges, universities, and organizations in the United States, Canada, and two dozen other nations. As in past years, political science (30 percent), sociology (13 percent), and psychology (8 percent) continued to be represented by the largest number of participants; however, the Program also experienced growth in the number of participants in other areas of the social and behavioral sciences.

In 2007, 51 courses (lecture series and workshops) were offered by 71 instructors. The Summer Program faculty represented 10 disciplines, and were recruited from 44 institutions.

Throughout its history, the ICPSR Summer Program has been concerned with promoting diversity within the social science community. The last two years have seen a dramatic and accelerated commitment to this goal. Instructors in the 2007 Program included 27 individuals (38 percent) from underrepresented groups. Of these, 13 (18 percent) were instructors of color and 16 (22 percent) were female. The participant mix has also become increasingly diverse. Individuals from historically underrepresented (or minority) groups made up approximately 37 percent of the participants in 2007. Female participants continue to represent roughly 50 percent of the total.

The 2007 Program featured special courses relevant to minority populations. The curriculum included a four-week course titled Methodological Issues in Quantitative Research on Race and Ethnicity, under the leadership of Phillip Bowman, director of the National Center for Institutional Diversity at the University of Michigan. With the aid of a team of national experts, the course covered the theoretical context for the topic, while focusing on African American, Latino, and Asian/Pacific populations.

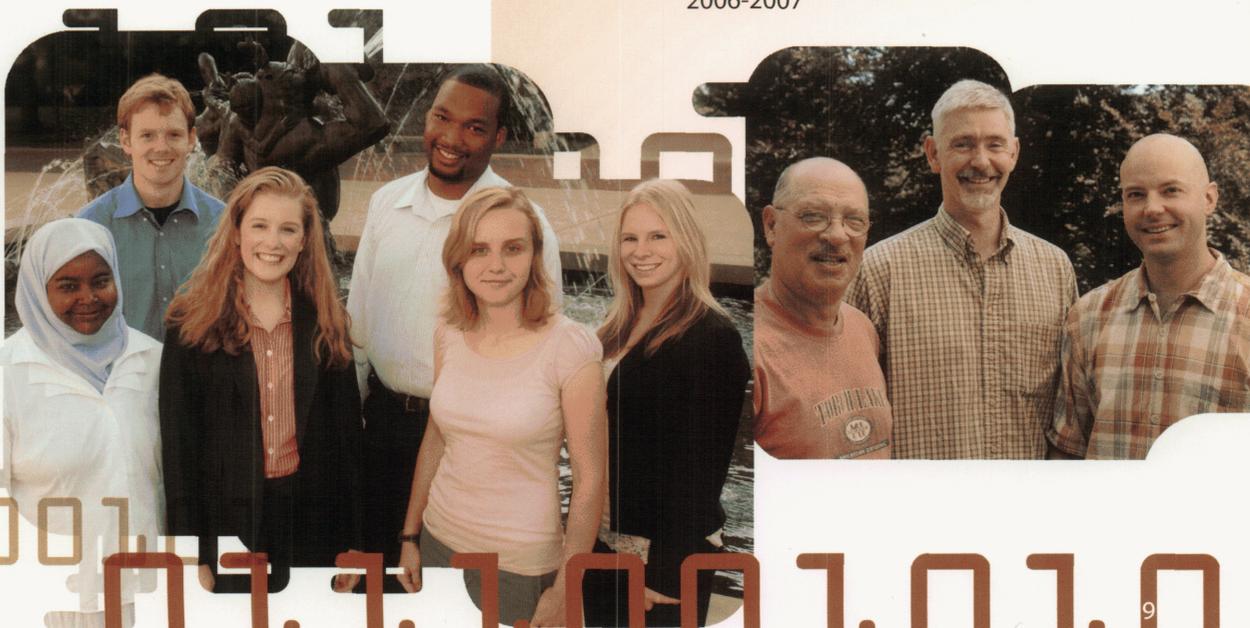
A five-day workshop was offered on the Collaborative Psychiatric Epidemiology Surveys (CPES): Investigating Cultural and Ethnic Influences on Mental Health. The instructors, drawn from the senior staffs of the component CPES studies, provided a comprehensive overview of the data, including detailed information about the research designs, instrumentation, content, sampling, and analysis strategies.



Summer Undergraduate Internship Program

Spearheaded by Human Resources Director Rita Young Bantom and coordinated by Research Associate Jaime Ventura, ICPSR hosted its second group of interns with six undergraduate students participating in 2007: Megan Bosak, Cornell College; Enver Holder-Hayes, Morehouse College; Lucie Kalousova, Earlham College; Kelsey Larsen, University of Iowa; Aisha Spencer, Georgia State University; and Samuel Whittemore, Carleton College. Supervised and mentored by experienced research assistants and associates, the interns processed data and documentation, attended courses in ICPSR's Summer Program, and attended a weekly Lunch and Lecture series that exposed them to various aspects and departments of ICPSR and ISR. On the lighter side, they attended a Detroit Tigers baseball game, went bowling, and enjoyed a weekend at the lake.

Each student was encouraged to explore his or her future career development by networking with experts and role models. Reflecting on her summer at ICPSR during the interns' farewell presentations, Aisha Spencer said, "I was able to make good contacts, solidify my career goals, and increase my self-confidence."



Undergraduate Research Paper Competition

In 2007, ICPSR held the first Undergraduate Research Paper Competition, which encouraged undergraduate students to examine a social science topic by conducting quantitative analysis of data held within the ICPSR archive. We received many outstanding papers, which made selection of the winning papers a challenge. The authors of the top three papers received cash prizes and their papers were published on the ICPSR Web site, while the winning paper was published in a special edition of the *ICPSR Bulletin* available online. First-place was awarded to Scott M. Noveck, Princeton University, for his paper titled "Testing the Theory of Rational Crime With United States Data, 1994–2002."

"There are precious few opportunities to receive recognition for undergraduate work in economics and the other social sciences, and even fewer opportunities to have undergraduate work published to a wide audience. Not only was receiving this honor a very personally fulfilling experience for me, but since being given the award I have also received several emails from other students and scholars who have read my paper and been interested in its findings. I am very grateful to have had this opportunity to receive recognition for my work and to draw attention to the key findings of my research."

—Scott M. Noveck,
First Place Undergraduate Research Paper,
2006–2007



Technology Update

Enhanced Access to Data: MyClass and ICPSR Anywhere

ICPSR has launched two new services to make it easier than ever to use our data resources: MyClass and ICPSR Anywhere. MyClass is a convenient system for instructors to create disposable MyData accounts for students en masse. Rather than burdening the instructor with walking students through MyData account creation, lost passwords, and other administrative chores, one may now create and distribute accounts with just a few keystrokes. ICPSR Anywhere removes barriers to data for members who are frequent travelers. With ICPSR Anywhere users merely log into MyData once from campus, and then can access data from any location without technology like a VPN or Web proxy.

RSS Feeds

In the past year, ICPSR instituted RSS feeds to convey announcements, including notices of new study releases, to our user community. Subscribers may now aggregate their ICPSR announcements with headlines from other sources without having to directly visit our site.

Archival Storage Moves Online

ICPSR used magnetic tape for archival storage for much of its history, keeping a master tape on-site and a backup tape in an off-site Ann Arbor location. During 2006–2007, ICPSR moved the majority of its archival storage online, and now maintains additional copies in more geographically diverse locations. We keep one copy online at ICPSR, another copy offline at ICPSR, and multiple copies in a variety of storage grids located across the country, such as the San Diego Supercomputer's Chronopolis Digital Preservation Program. Digital fingerprint technology ensures that copies are kept synchronized with the master copy. We do not deliver the content from these storage grids, but rather use them as a deep archive for disaster recovery and long-term access.

Research Insights

Amy M. Pienta, associate research scientist and director of Data Acquisitions, coauthored an article on factors impacting women's well-being in midlife that was published in the June 2007 issue of the *International Journal of Aging and Human Development*. Coauthors of the article include University of Florida researcher Tanya Koropecjy-Cox, who is lead author of the study, and Tyson Brown, a University of North Carolina graduate student in sociology.

Analyzing data from over 6,000 women between the ages of 51 and 61 from two different national surveys, Pienta said the research shows that "Whether a woman has had children or not isn't likely to affect her psychological well-being in later life. What is more important is whether or not she has a husband, a significant other, or close social relationships in her life as she ages."



Financial Summary Fiscal Year 2007

Statement of Revenue and Expenses

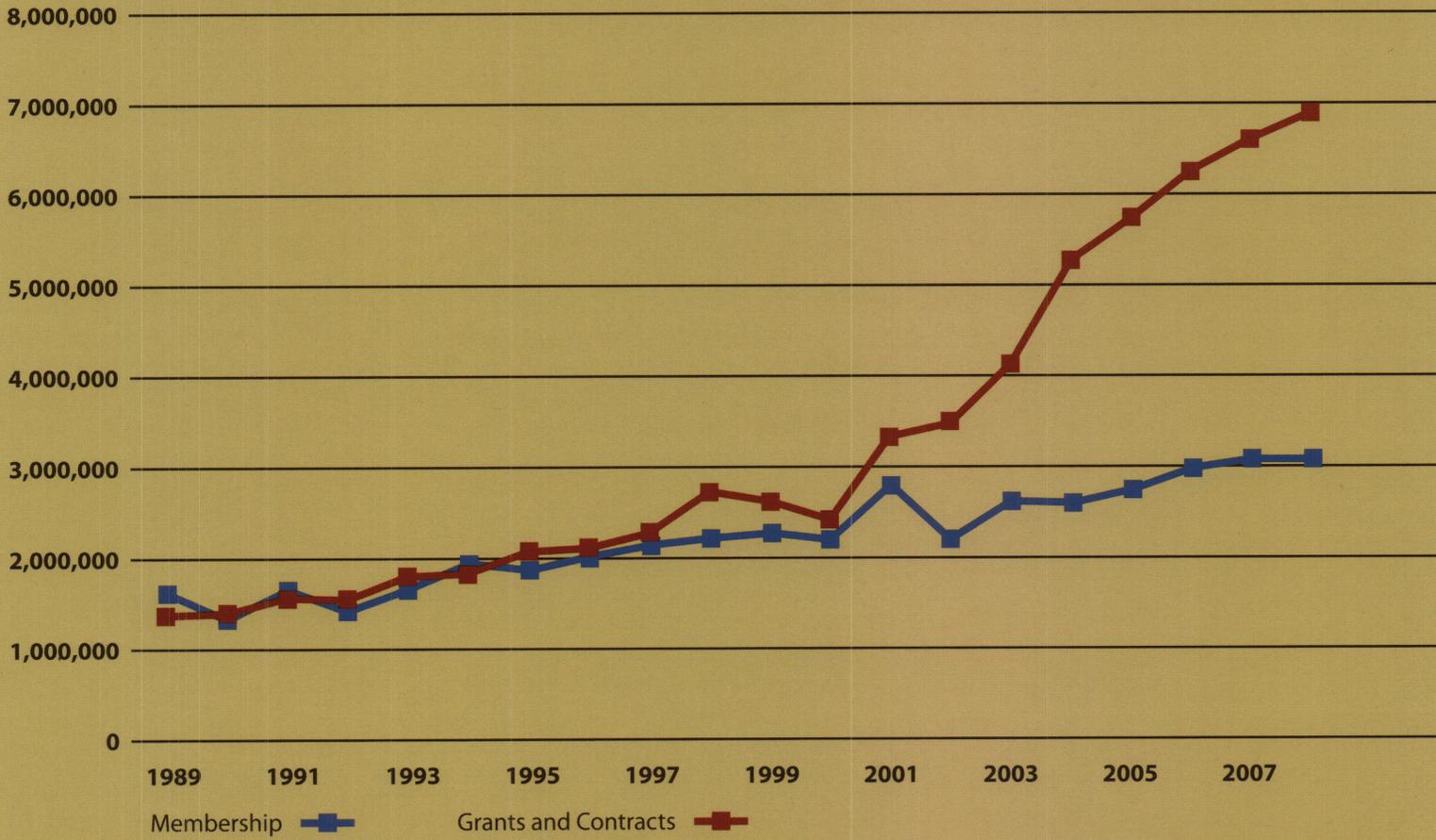
Beginning Balance	\$2,677,220
Revenue	
General Fund Transfers	\$566,138
Gifts and Grants	6,616,281
Investment Transactions	216,717
Recovery From Rebill	1,010,008
General Income	3,848,263
Transfers	69,477
Indirect Costs	1,921,597
Total Revenue	\$14,248,481
Expenses	
Salaries and Benefits	\$7,742,843
Instructional Faculty Salaries	69,895
Financial Aid	100,753
Communications	49,475
Fees and Services	1,093,593
General Expenses	1,350,027
Maintenance	63,689
Space Rental	49,889
Travel and Hosting	396,781
Indirect Cost Recovered	1,921,597
Transfers and Distributions	816,154
Total Expenses	\$13,654,697
Revenue Over/(Under) Expenses	\$593,784
Ending Fund Balance	\$3,271,003

ICPSR closed fiscal year 2007 with revenues totaling \$14.25 million, representing a 5.9% increase over fiscal year 2006. Membership dues income, totaling \$3.1 million, rose 2.6% since 2006 and contributes 22% of the organization's total revenue. Sponsored project awards contributed \$6.6 million and accounted for 46% of ICPSR's revenue. This is a 5.8% increase since 2006. Investment income also grew in 2007 due in large part to market conditions and interest payments on our loan to ISR for the Perry II facility. ICPSR earned 7.8% more from its tuition-paying Summer Program participants and 10% more from its fee-paying participants. ICPSR's overall expenses exceeded \$13.6 million, a 5.3% increase from fiscal year 2006.

The organization closed fiscal year 2007 favorably by \$593,784. As of June 30, 2007, ICPSR maintains a total fund balance of \$3,271,003. Although ICPSR's fund balance is at its healthiest level to date, the organization plans to continue to augment reserves to ensure that its fiduciary responsibilities are achieved.

Revenue From Membership and Grants/Contracts (Direct and Indirect)

Fiscal Year 1989 Through 2008



Sources of Revenue

General Fund Transfers 4.0%

Transfers 0.5%

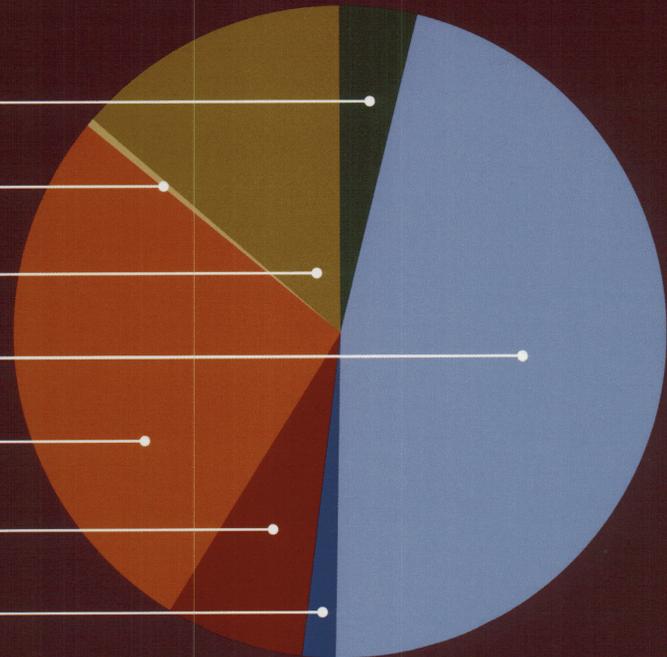
Indirect Costs 13.5%

Gifts and Grants 46.4%

General Income 27%

Recovery From Rebill 7.1%

Investment Transactions 1.5%



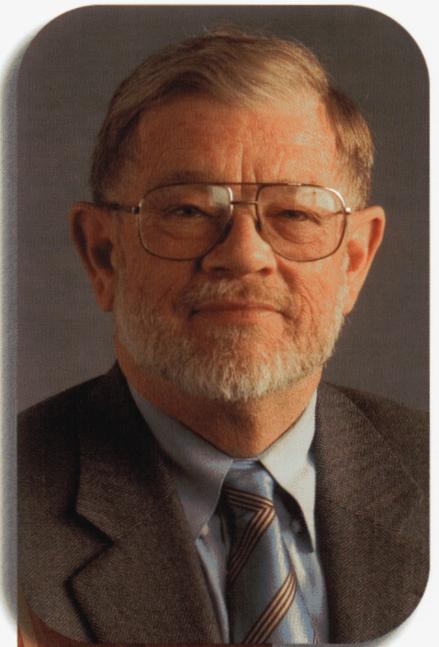
Erik Austin Retires—40 Years of Service to ICPSR

ICPSR said farewell in 2006 to one of our longest serving staff members as Assistant Director Erik Austin retired after 40 years of service. Erik was a guiding force in ICPSR's development, influencing the substantive direction of the archival holdings, and positioning ICPSR to become an integral part of the infrastructure of social science research.

A graduate of Dartmouth College with coursework toward a PhD in history at the University of Michigan, Erik held the position of director of Archival Development for many years and served as acting director of ICPSR in 2000–2001. He was active in the Social Science History Association, serving as executive director of the organization for over a decade. With his mentor Jerome Clubb, he coauthored *Political Facts of the United States Since 1789* (Columbia University Press, 1986).

Drawing on his training in history, Erik created and supervised the preparation of some of the key historical datasets in the ICPSR holdings—datasets upon which the early reputation of the organization was based. Erik was instrumental in securing sponsored funding for innovative projects. Erik's portfolio of grants and contracts includes longstanding support from the Robert Wood Johnson Foundation, a contract with the Congressional Research Service of the Library of Congress, and several successful joint projects with the U.S. Census Bureau that broadened access to Census data and made them easier for researchers to use.

Margo Anderson, ICPSR Council chair during Erik's tenure as acting director, sums up his contribution: "Erik's career spans the coming of age of ICPSR, and cooperative data acquisition and management in the social sciences more generally. He has served as a leader and mentor to a legion of data users, archivists, and administrators. I leaned heavily on his sage advice during my time on Council. He was wonderful to work with, gracious, helpful, and generous with time and his good humor."



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Library of Congress
United States Census Data
History
Social

Thank you for your service.

Hank Heitowit Retires After Most Successful Summer Ever

After overseeing ICPSR's largest Summer Program enrollment in its history, Director Hank Heitowit retired from ICPSR at the end of August 2007.

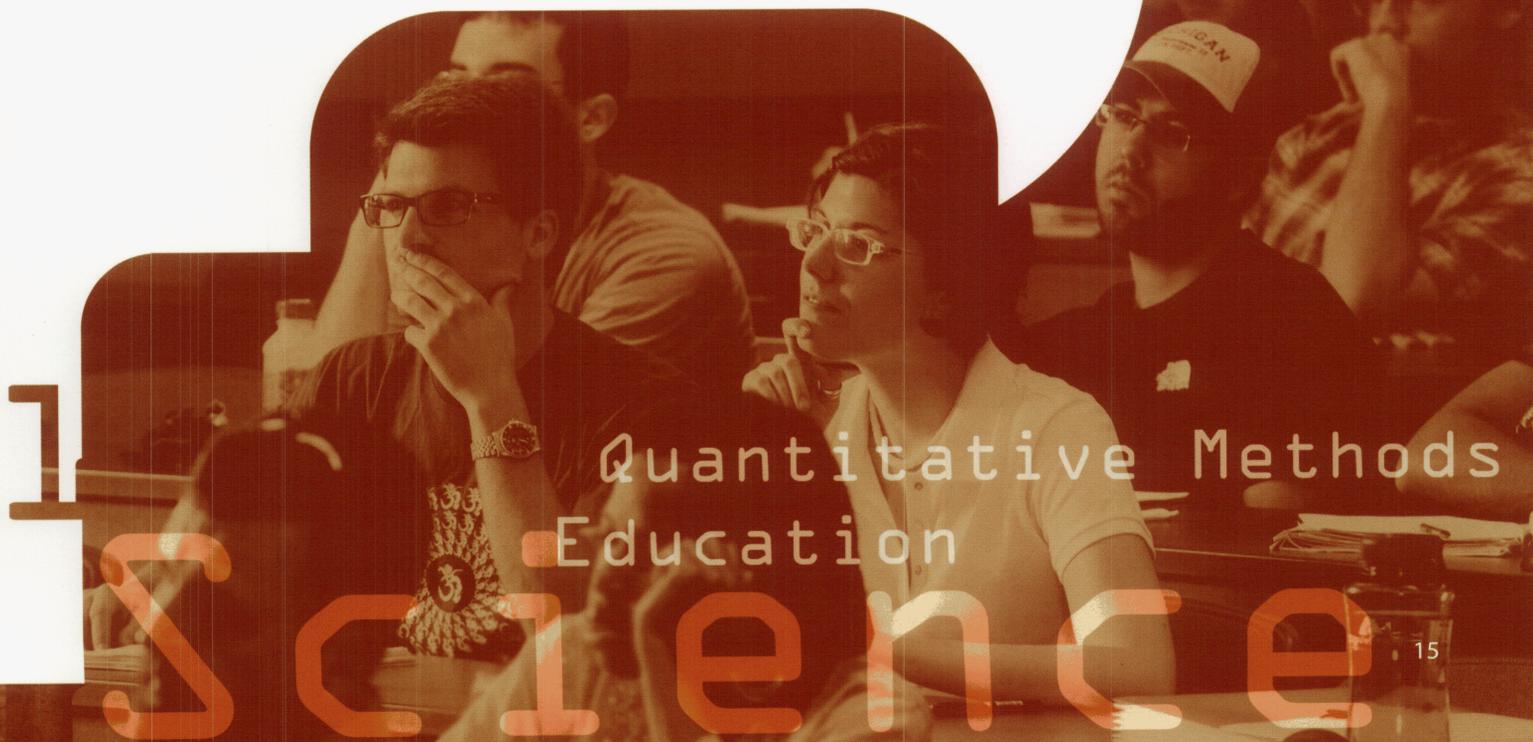
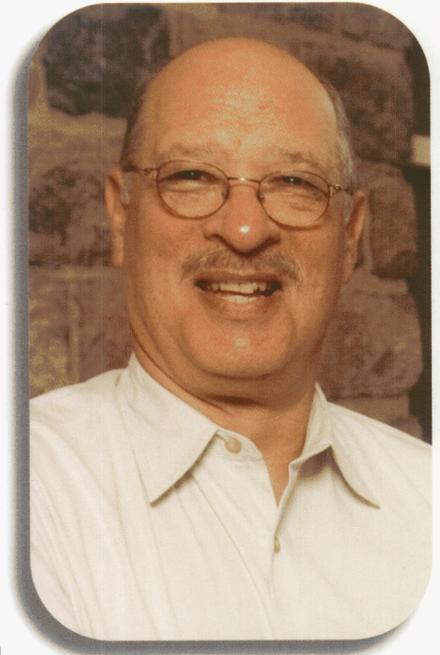
A graduate of Brooklyn College and Syracuse University (MA in public administration) with advanced courses in political science at the University of Michigan, Hank began his tenure at ISR in the Center for Political Studies in 1972 as an assistant study director. He became assistant director of the Summer Program in 1978 and director in 1981. Hank built the Summer Program into a unique resource for training in quantitative methods that is recognized the world over for its excellence.

William Jacoby, longtime instructor in the Program and Hank's successor as director, comments on Hank's impact: "It is no exaggeration to say that Hank's activities had important positive effects on the careers and professional development of thousands of students and faculty, across a wide range of academic disciplines."

During his tenure as director, Hank increased the scope of the topical coverage of the Program and added workshops in locations other than Ann Arbor, further expanding the course offerings and extending the reach of the Program. He worked diligently to increase the demographic diversity of Program students and faculty. In 1992, the University of Michigan Office of the Vice President for Minority Affairs, led by Charles Moody, presented Hank with a special award for activities to promote diversity through the Summer Program.

Perhaps Hank's most noteworthy accomplishment was the steady increase in Summer Program attendance during his tenure. When Hank began as director, the Program offered about 25 courses with a yearly enrollment of under 300 and attracted mainly political science students. By the time Hank retired in 2007, the Program had 50 courses and over 800 participants representing over two dozen disciplines.

Always eager to meet with and counsel students, Hank ensured that the environment for the Summer Program was not only of the highest academic quality but also welcoming and supportive.



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*ICPSR is a unit of the Institute for Social
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